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## FAX TRANSMISSION COVER SHEET

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August 19, 2004

To:Telephone:Fax Number:Attn.: Mail Stop AF  
United States Patent and Trademark  
Office

(703) 872-9306

From: Nan Wu  
415-836-2531

Client-Matter Number: 693243-76 (UCD1120)

Re: U.S. Application No. 10/075,718, filed February 12, 2002  
Applicant(s): Allan Y. Chen  
Title: RADIOSENSITIZATION BY INDOLOCARBAZOLE DERIVATIVESPages: - 20 - (including this form) Originals: ☐ will be mailed ☐ will not be mailed

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## Message:

Please see attached AF Amendment Response for filing in the above-identified U.S. patent application.

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(Form Rev. 6/5/00)

Gray Cary/SF/3103863.1  
693243-76

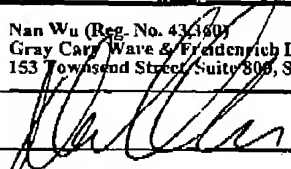
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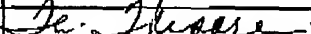
Approved for use through 07/31/2005. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/075,718	
	Filing Date	February 12, 2002	
	First Named Inventor	Allan Y. Chen	
	Art Unit	1617	
	Examiner Name	Jennifer M. Kim	
Total Number of Pages in This Submission	19	Attorney Docket Number	693243-76 (UCD1120)

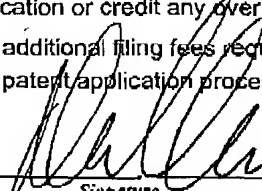
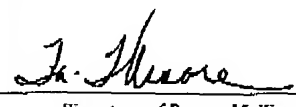
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Amendment Transmittal Letter - Small Entity (x2)</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nan Wu (Reg. No. 43,360) Gray Cary Ware & Freudenrich LLP 153 Townsend Street, Suite 800, San Francisco, CA 94107
Signature	
Date	August 19, 2004

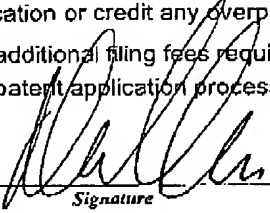

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Typed or printed name	Ta-Tanisha L. Moore	Date	August 19, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No.	
Applicant(s): Allan Y. Chen				693243-76 (UCD1120)	
Serial No. 10/075,718	Filing Date February 12, 2002	Examiner Jennifer M. Kim	Group Art Unit 1617		
Invention: RADIOSENSITIZATION BY INDOLOCARBAZOLE DERIVATIVES				RECEIVED CENTRAL FAX CENTER AUG 19 2004	
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application.					
<input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.					
<input type="checkbox"/> A verified statement to establish Small Entity status under 37 CFR 1.27 is enclosed.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	13 -	33 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	6 =	0 x	\$43.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input type="checkbox"/> No additional fee is required for amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. 07-1896 in the amount of \$55.00					
<input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1896.					
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
Nan Wu (Reg. No. 43,360) Gray Cary Ware & Freidenrich LLP 153 Townsend Street, Suite 800 San Francisco, CA 94107			Dated: _____  Signature		
CC:			I certify that this document and fee is being deposited on August 19, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
			 Signature of Person Mailing Correspondence		
			Ta-Tanisha L. Moore Typed or Printed Name of Person Mailing Correspondence		

P11SMALL/REV08

AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No.	
Applicant(s): Allan Y. Chen				693243-76 (UCD1120)	
Serial No. 10/075,718	Filing Date February 12, 2002	Examiner Jennifer M. Kim	Group Art Unit 1617		
Invention: <b>RADIOSENSITIZATION BY INDOLOCARBAZOLE DERIVATIVES</b>				<b>RECEIVED CENTRAL FAX CENTER AUG 19 2004 OFFICIAL</b>	
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. <input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. <input type="checkbox"/> A verified statement to establish Small Entity status under 37 CFR 1.27 is enclosed. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	13 -	33 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	6 =	0 x	\$43.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. 07-1896 in the amount of \$55.00 <input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1896. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 Signature			Dated:		
Nan Wu (Reg. No. 43,360) Gray Cary Ware & Freidenrich LLP 153 Townsend Street, Suite 800 San Francisco, CA 94107			I certify that this document and fee is being deposited on August 19, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Signature of Person Mailing Correspondence Ta-Tanisha L. Moore Typed or Printed Name of Person Mailing Correspondence		
cc:					

P11SMALUREV06

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AUG 19 2004

Application No. : 10/075,718  
Applicants : Allan Y. Chen  
Filed : 2/12/2002  
Group Art Unit : 1617  
Examiner : Jennifer M. Kim  
  
Attorney Docket No. : 693243-76(UCD-1120)  
Customer No. : 29585

) Confirmation No. 1621

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For: RADIOSENSITIZATION BY  
INDOLOCARBAZOLE DERIVATIVES

CERTIFICATION UNDER 37 CFR § 1.8

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1450.

Ta-Tanisha L. Moore

(Name of person filing paper)

Ta-Tanisha L. Moore

Signature

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Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

AMENDMENT RESPONSE TO THE FINAL OFFICE ACTION

In response to the final Office Action of May 5, 2004, please amend the above-identified application as follows:

**Amendments to the Specification:** None

**Amendments to the Claims:** are reflected in the listing of claims which begins on page 2 of this paper.

**Amendments to the Drawings:** None

**Remarks/Arguments** begin on page 10 of this paper.

Gray Cary/SF3103339.1  
693243-76